

TRANSACTION REPORTING

2020

Client type: PROFESSIONAL						
Financial asset: EQUITIES						
On average <1 trade per business day						
VENUE	LEI Code	Proportion of Volume traded as a % of total in that class	Proportion of Orders executed as % of total in that class	Passive orders	Aggressive Orders	Percentage of directed orders
INTERMONTE SIM SPA	YMUU1WGHUKORP9E36I98	77.85%	97.19%	ND	ND	0.00%
BANCA IMI SPA	QV4QB0G7OAFABSCM13	19.63%	1.50%	ND	ND	0.00%
BANQUE CANTONALE VAUDOISE	K1M0B83OP98CO554876	1.28%	0.19%	ND	ND	0.00%
NOMURA INTERNATIONAL PLC	DG0CSV2PHW712743539	0.65%	0.19%	ND	ND	0.00%
BONIFARTNERS SA	993200NMGASCOMDYA12	0.59%	0.04%	ND	ND	0.00%
TOTAL		100.00%	100.00%	ND	ND	0.00%

Client type: RETAIL						
Financial asset: EQUITIES						
On average <1 trade per business day						
VENUE	LEI Code	Proportion of Volume traded as a % of total in that class	Proportion of Orders executed as % of total in that class	Passive orders	Aggressive Orders	Percentage of directed orders
INTERMONTE SIM SPA	YMUU1WGHUKORP9E36I98	67.80%	97.0%	ND	ND	0.00%
BANCA IMI SPA	QV4QB0G7OAFABSCM13	32.20%	3.0%	ND	ND	0.00%
TOTAL		100.00%	100.00%	ND	ND	0.00%

Client type: PROFESSIONAL							
Financial asset: CW, WAIR & CERTS							
On average <1 trade per business day							
VENUE	LEI Code	Proportion of Volume traded as a % of total in that class	Proportion of Orders executed as % of total in that class	Passive orders	Aggressive Orders	Percentage of directed orders	
EXANE DERIVATIVES	2FL5M1C186Q6HNG6A35	66.42%	66.75%	ND	ND	0.0%	
URS AG	8F8M816121LLOCEMKS0	26.02%	18.75%	ND	ND	0.0%	
INTERMONTE SIM SPA	YMUU1WGHUKORP9E36I98	7.56%	12.50%	ND	ND	0.0%	
TOTAL		0	100.00%	100.00%	ND	ND	0.00%

Client type: RETAIL						
Financial asset: CW, WAIR & CERTS						
On average <1 trade per business day						
VENUE	LEI Code	Proportion of Volume traded as a % of total in that class	Proportion of Orders executed as % of total in that class	Passive orders	Aggressive Orders	Percentage of directed orders
EXANE DERIVATIVES	2FL5M1C186Q6HNG6A35	95.78%	80.00%	ND	ND	0.00%
INTERMONTE SIM SPA	YMUU1WGHUKORP9E36I98	4.22%	20.00%	ND	ND	0.00%
TOTAL		100.00%	100.00%	ND	ND	0.00%

Client type: PROFESSIONAL						
Financial asset: FUNDS, ETF, ETC						
On average <1 trade per business day						
VENUE	LEI Code	Proportion of Volume traded as a % of total in that class	Proportion of Orders executed as % of total in that class	Passive orders	Aggressive Orders	Percentage of directed orders
ALL FUNDS BANK SA	9588002014000584430	67.4%	73.27%	ND	ND	0.0%
INTERMONTE SIM SPA	YMUU1WGHUKORP9E36I98	32.3%	24.40%	ND	ND	0.0%
GMO FUNDS PLC	549300515RKYC9E120	3.8%	0.06%	ND	ND	0.0%
BNP PARIBAS SECURITIES SERV.	5493000323RTR0W9P872	0.6%	0.24%	ND	ND	0.0%
BPER BANCA SPA	N747017JMV7RUJH6190	0.6%	2.02%	ND	ND	0.0%
TOTAL		100.00%	100.00%	ND	ND	0.00%

Client type: RETAIL						
Financial asset: FUNDS, ETF, ETC						
On average <1 trade per business day						
VENUE	LEI Code	Proportion of Volume traded as a % of total in that class	Proportion of Orders executed as % of total in that class	Passive orders	Aggressive Orders	Percentage of directed orders
ALL FUNDS BANK SA	9588002014000584430	47.57%	52.15%	ND	ND	0.0%
INTERMONTE SIM SPA	YMUU1WGHUKORP9E36I98	49.02%	46.41%	ND	ND	0.0%
GMO FUNDS PLC	549300515RKYC9E120	8.47%	0.48%	ND	ND	0.0%
BNP PARIBAS SECURITIES SERV.	5493000323RTR0W9P872	0.58%	0.48%	ND	ND	0.0%
J.P. MORGAN SECURITIES PLC	66QW1P5110410L9C32	0.38%	0.48%	ND	ND	0.0%
TOTAL		100.00%	100.00%	ND	ND	0.00%

Client type: PROFESSIONAL						
Financial asset: BONDS						
On average <1 trade per business day						
VENUE	LEI Code	Proportion of Volume traded as a % of total in that class	Proportion of Orders executed as % of total in that class	Passive orders	Aggressive Orders	Percentage of directed orders
BLOOMBERG DATA REPORTING SERVICES BV	2548009CDE05M7H2Y67	86.2%	87.39%	ND	ND	0.0%
UNICREDIT AG	N747017JMV7RUJH6190	3.7%	5.15%	ND	ND	0.0%
ARSDIAN FINANCE SA	529900858M5CYVY9N67	3.6%	2.64%	ND	ND	0.0%
BONIFARTNERS SA	993200NMGASCOMDYA12	3.6%	3.91%	ND	ND	0.0%
MORGAN STANLEY EUROPE SE	54930056FWP7GIWY708	3.0%	0.71%	ND	ND	0.0%
TOTAL		100.00%	100.00%	ND	ND	0.00%

Client type: RETAIL						
Financial asset: BONDS						
On average <1 trade per business day						
VENUE	LEI Code	Proportion of Volume traded as a % of total in that class	Proportion of Orders executed as % of total in that class	Passive orders	Aggressive Orders	Percentage of directed orders
BLOOMBERG DATA REPORTING SERVICES BV	2548009CDE05M7H2Y67	88.58%	87.02%	ND	ND	0.0%
UNICREDIT AG	N747017JMV7RUJH6190	3.92%	5.34%	ND	ND	0.0%
MORGAN STANLEY EUROPE SE	54930056FWP7GIWY708	3.82%	1.02%	ND	ND	0.0%
BNP PARIBAS SA, PARIS	N0M1H5FPUBMPC0B5P83	2.01%	5.09%	ND	ND	0.0%
BANCA IMI SPA	QV4QB0G7OAFABSCM13	1.68%	1.53%	ND	ND	0.0%
TOTAL		100.00%	100.00%	ND	ND	0.00%

Client type: PROFESSIONAL						
Financial asset: STRUCTURED PRODUCTS						
On average <1 trade per business day						
VENUE	LEI Code	Proportion of Volume traded as a % of total in that class	Proportion of Orders executed as % of total in that class	Passive orders	Aggressive Orders	Percentage of directed orders
TOTAL						

Client type: RETAIL						
Financial asset: STRUCTURED PRODUCTS						
On average <1 trade per business day						
VENUE	LEI Code	Proportion of Volume traded as a % of total in that class	Proportion of Orders executed as % of total in that class	Passive orders	Aggressive Orders	Percentage of directed orders
TOTAL						

Client type: PROFESSIONAL						
Financial asset: MONETARY INSTRUMENTS						
On average <1 trade per business day						
VENUE	LEI Code	Proportion of Volume traded as a % of total in that class	Proportion of Orders executed as % of total in that class	Passive orders	Aggressive Orders	Percentage of directed orders
None	None	None	None	None	None	None
TOTAL						

Client type: RETAIL						
Financial asset: MONETARY INSTRUMENTS						
On average <1 trade per business day						
VENUE	LEI Code	Proportion of Volume traded as a % of total in that class	Proportion of Orders executed as % of total in that class	Passive orders	Aggressive Orders	Percentage of directed orders
None	None	None	None	None	None	None
TOTAL						